

# Studies of Neutrinos and Neutrino Oscillations

H. Sobel

University of California, Irvine

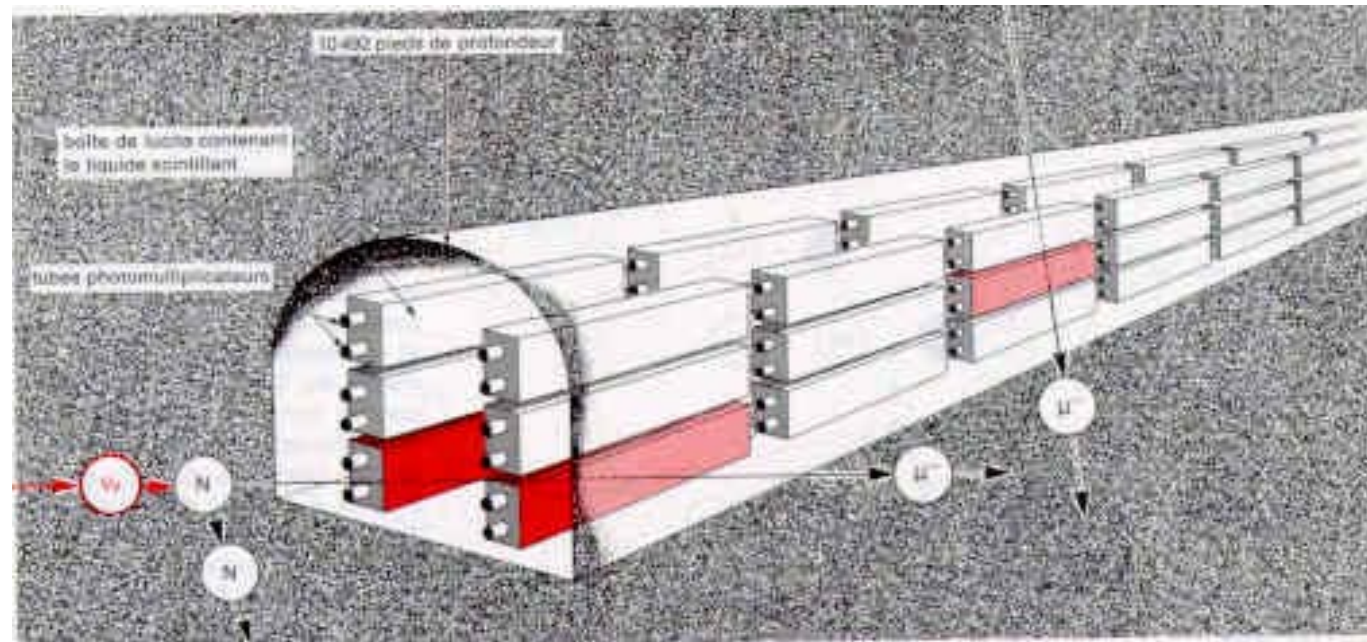
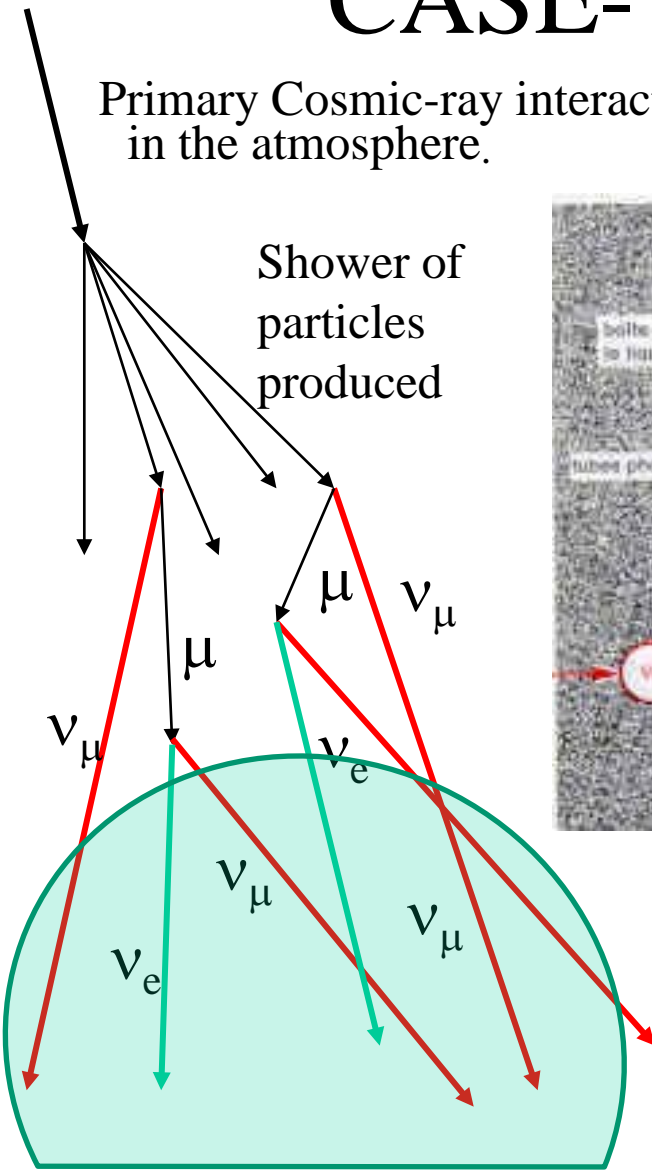
Dubna, Feb. 19, 2010

It all began for me in 1962:

# CASE-WITS (1965 - 1973)

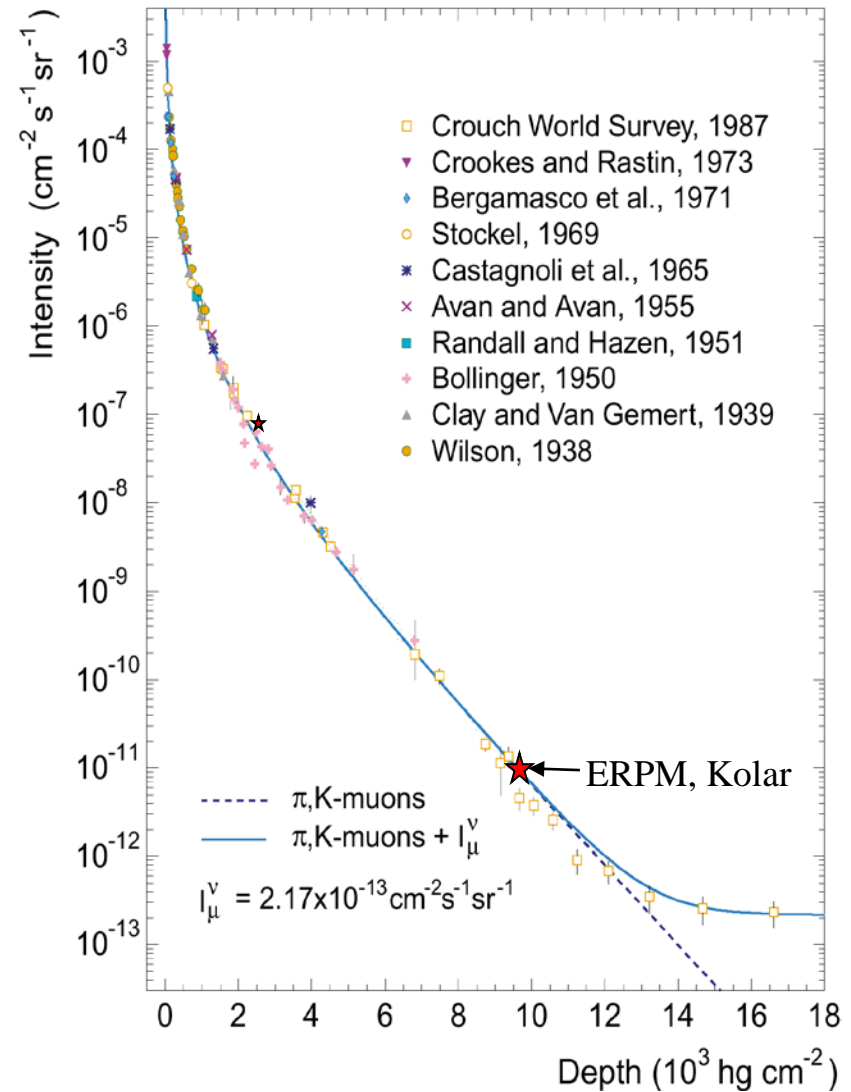
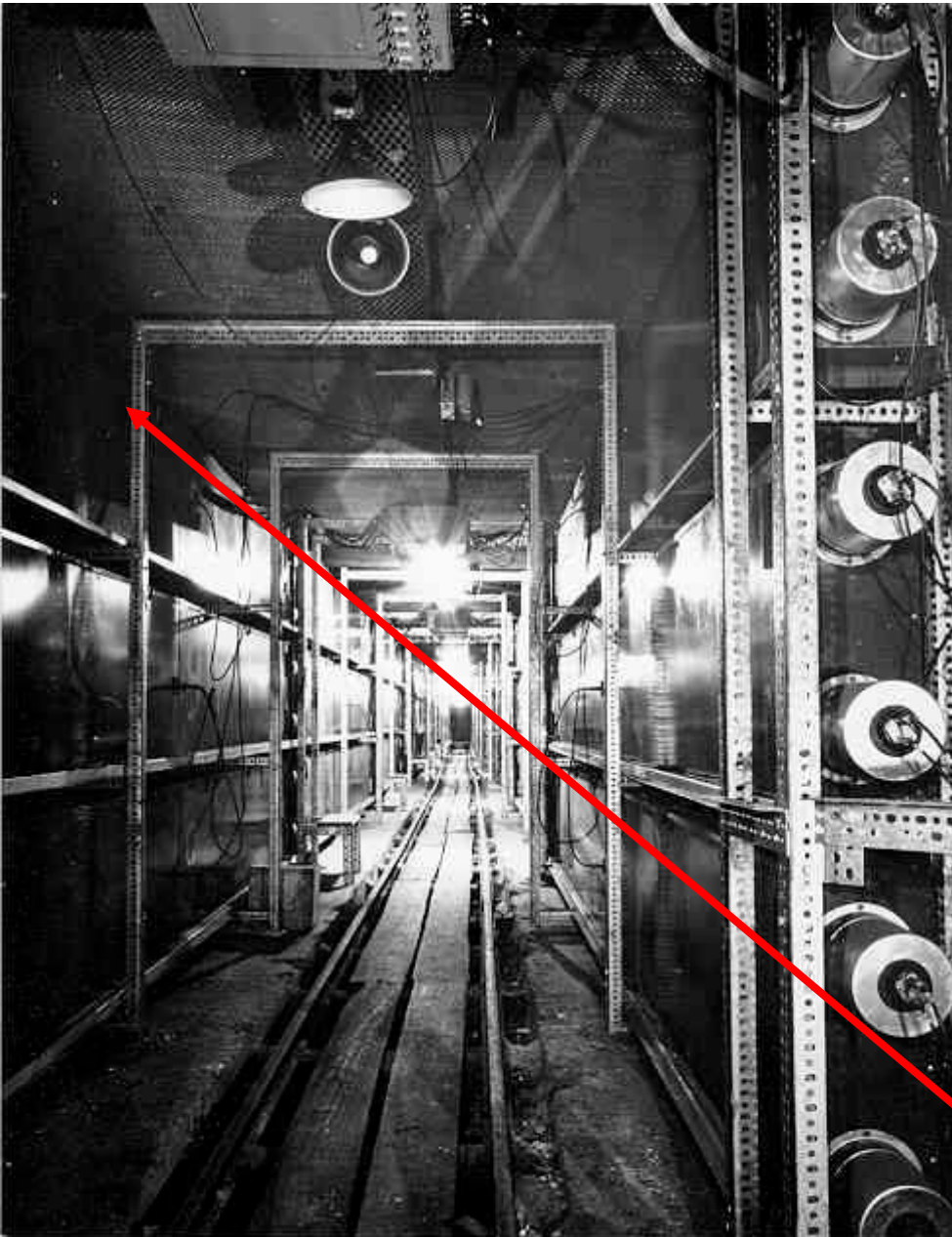
Primary Cosmic-ray interaction  
in the atmosphere.

Shower of  
particles  
produced



**Depth of 3,200 meters in South African  
gold mine.**

# First Observation of Atmospheric Neutrinos - 1965



**A factor of 1.6 fewer than expected..**

Muon from Neutrino interaction

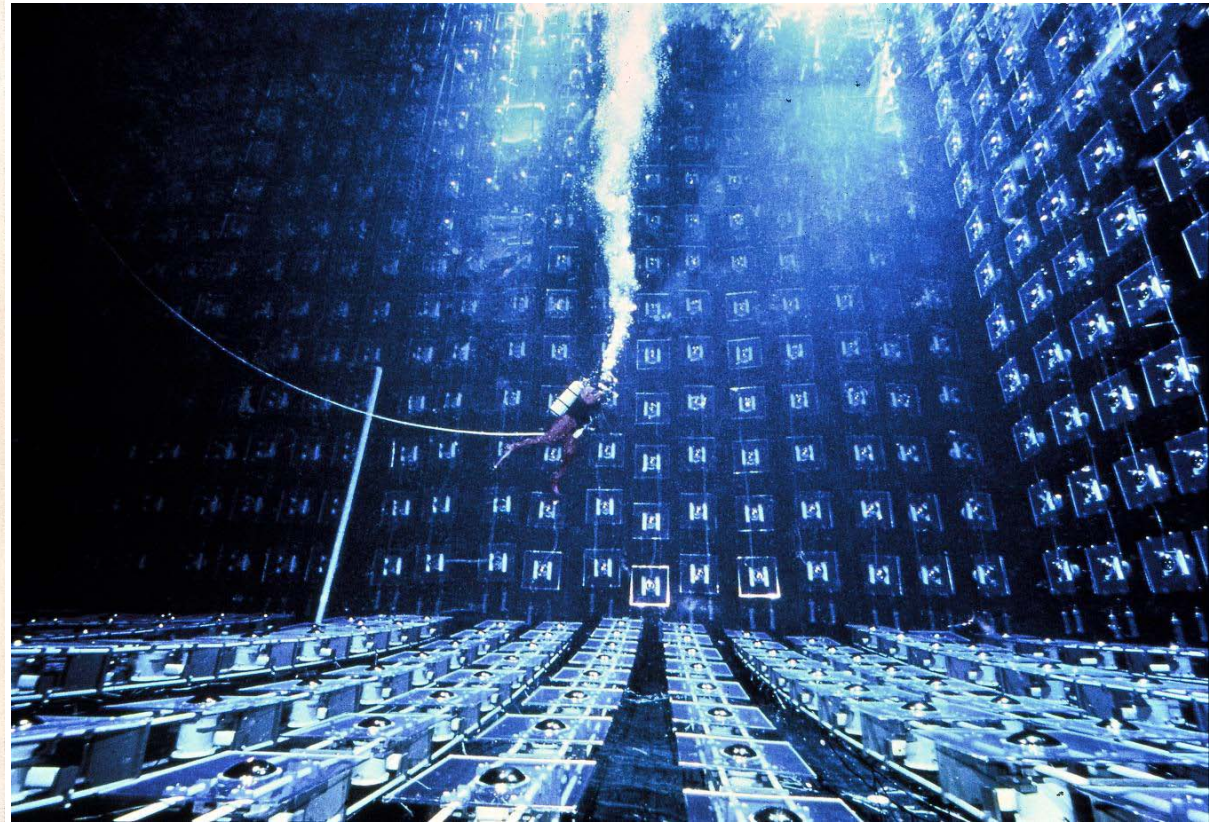
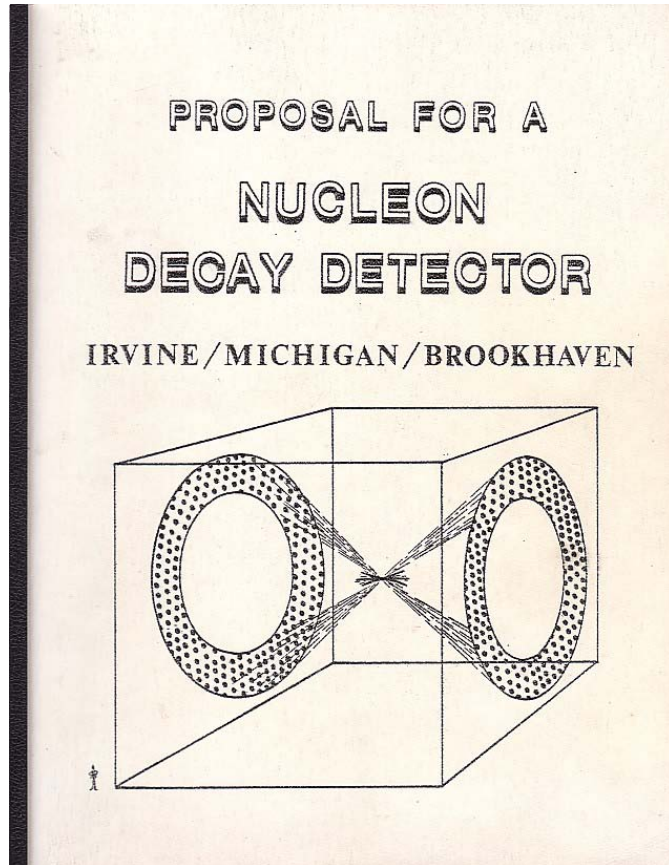
It's interesting that the roots of the neutrino oscillation discoveries started around the same time in the mid-to-late 1960's.

During those few years, Ray Davis observed that the solar neutrino signal was much lower than expected and the first atmospheric neutrino experiment in the South African gold mine observed fewer atmospheric neutrino events than was expected.

It's safe to say that both of these observations were treated as errors either in the observations or in the predictions. It took over thirty years before neutrino oscillations were definitively demonstrated in atmospheric neutrinos by the Super-Kamiokande experiment and in solar neutrinos by a combination of the Super-Kamiokande and SNO experiments.

# IMB (1979-1992)

(Irvine, Michigan, Brookhaven)



**Proposed to observe proton decay as predicted by SU(5)**  
(Georgi and Glashow in 1974)

# Some IMB Results

VOLUME 51, NUMBER 1

PHYSICAL REVIEW LETTERS

4 JULY 1983

---

## Search for Proton Decay into $e^+ \pi^0$

R. M. Bionta, G. Blewitt, C. B. Bratton, B. G. Cortez,<sup>(a)</sup> S. Errede, G. W. Forster,<sup>(a)</sup> W. Gajewski, M. Goldhaber, J. Greenberg, T. J. Haines, T. W. Jones, D. Kielczewska,<sup>(b)</sup> W. R. Kropp, J. G. Learned, E. Lehmann, J. M. LoSecco, P. V. Ramana Murthy,<sup>(c)</sup> H. S. Park, F. Reines, J. Schultz, E. Shumard, D. Sinclair, D. W. Smith,<sup>(d)</sup> H. W. Sobel, J. L. Stone, L. R. Sulak, R. Svoboda, J. C. van der Velde, and C. Wuest

**SU(5) prediction not confirmed.**

---

VOLUME 57, NUMBER 16

PHYSICAL REVIEW LETTERS

20 OCTOBER 1986

---

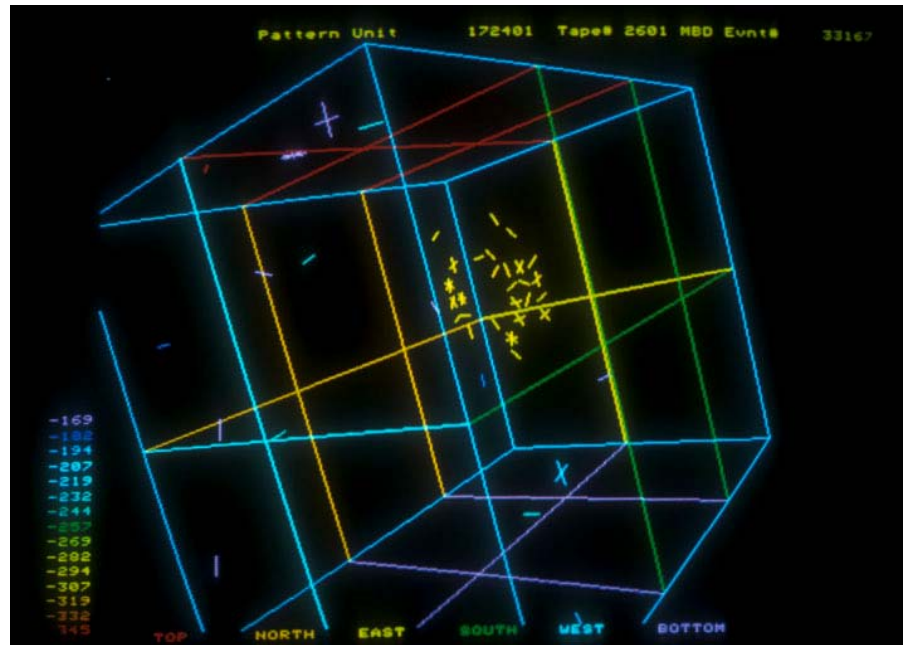
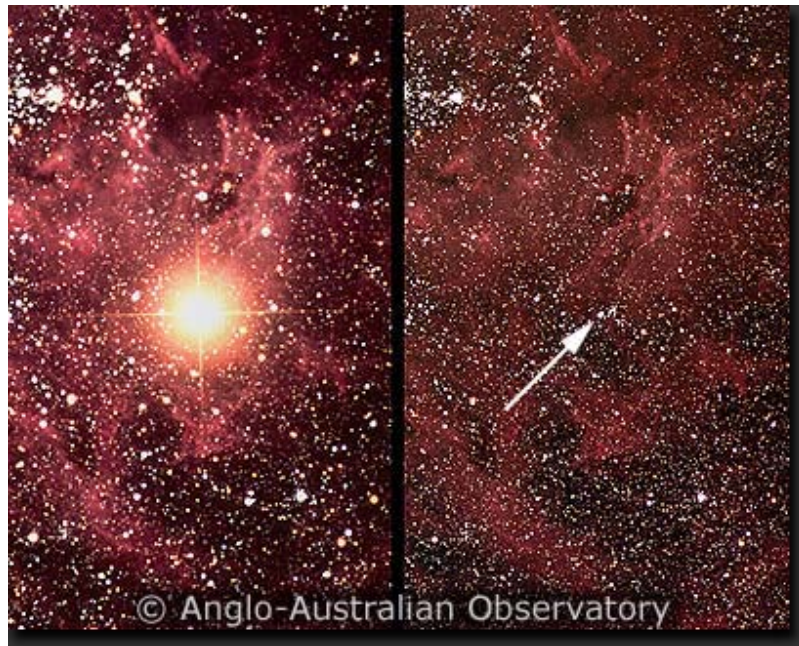
## Calculation of Atmospheric Neutrino-Induced Backgrounds in a Nucleon-Decay Search

T. J. Haines, R. M. Bionta, G. Blewitt, C. B. Bratton, D. Casper, R. Claus, B. G. Cortez, S. Errede, G. W. Foster, W. Gajewski, K. S. Ganezer, M. Goldhaber, T. W. Jones, D. Kielczewska, W. R. Kropp, J. G. Learned, E. Lehmann, J. M. LoSecco, J. Matthews, H. S. Park, L. R. Price, F. Reines, J. Schultz, S. Seidel, E. Shumard, D. Sinclair, H. W. Sobel, J. L. Stone, L. Sulak, R. Svoboda, J. C. van der Velde, and C. Wuest

The simulation predicts that  $34\% \pm 1\%$  of the events should have an identified muon decay while our data has  $26\% \pm 3\%$ . This discrepancy could be a statistical fluctuation or a systematic error due to (j) an incorrect assumption as to the ratio of muon  $\nu$ 's to electron  $\nu$ 's in the atmospheric fluxes, (ii) an incorrect estimate of the efficiency for our observing a muon decay, or (iii) **some other as-yet-unaccounted-for physics**. Any effect of this discrepancy has not been considered in calculating the nucleon-decay results.

**Something wrong with atmospheric neutrinos?**

# Detection of SN1987a



VOLUME 58, NUMBER 14

PHYSICAL REVIEW LETTERS

6 APRIL 1987

## Observation of a Neutrino Burst in Coincidence with Supernova 1987A in the Large Magellanic Cloud

R. M. Bionta,<sup>(12)</sup> G. Blewitt,<sup>(4)</sup> C. B. Bratton,<sup>(5)</sup> D. Casper,<sup>(2,14)</sup> A. Ciocio,<sup>(14)</sup> R. Claus,<sup>(14)</sup> B. Cortez,<sup>(16)</sup> M. Crouch,<sup>(9)</sup> S. T. Dye,<sup>(6)</sup> S. Errede,<sup>(10)</sup> G. W. Foster,<sup>(15)</sup> W. Gajewski,<sup>(1)</sup> K. S. Ganezer,<sup>(1)</sup> M. Goldhaber,<sup>(3)</sup> T. J. Haines,<sup>(1)</sup> T. W. Jones,<sup>(7)</sup> D. Kielczewska,<sup>(1,8)</sup> W. R. Kropp,<sup>(1)</sup> J. G. Learned,<sup>(6)</sup> J. M. LoSecco,<sup>(13)</sup> J. Matthews,<sup>(2)</sup> R. Miller,<sup>(1)</sup> M. S. Mudan,<sup>(7)</sup> H. S. Park,<sup>(11)</sup> L. R. Price,<sup>(1)</sup> F. Reines,<sup>(1)</sup> J. Schultz,<sup>(1)</sup> S. Seidel,<sup>(2,14)</sup> E. Shumard,<sup>(16)</sup> D. Sinclair,<sup>(2)</sup> H. W. Sobel,<sup>(1)</sup> J. L. Stone,<sup>(14)</sup> L. R. Sulak,<sup>(14)</sup> R. Svoboda,<sup>(1)</sup> G. Thornton,<sup>(2)</sup> J. C. van der Velde,<sup>(2)</sup> and C. Wuest<sup>(12)</sup>

Since both IMB and the original Kamiokande detector both saw a deficit in atmospheric neutrinos, and Ray Davis continued to see a deficit in solar neutrinos...the community started to think seriously about neutrino oscillations...but it still was only one of several explanations for the deficits.

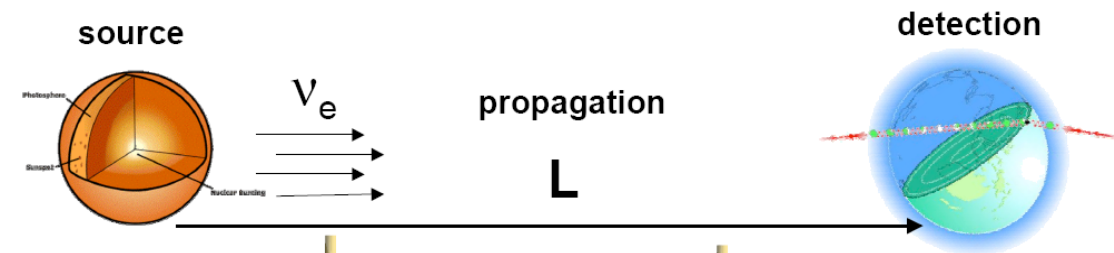


# Neutrino Oscillation



Erice - 1980

- If neutrinos have mass and mix, then a state which begins as purely one flavor can change over time.
- The components (with different masses) propagate with a different quantum mechanical time dependence
- Similar to a “beat frequency” between two sound waves



$$P(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta \sin^2(\Delta m^2 L / E)$$

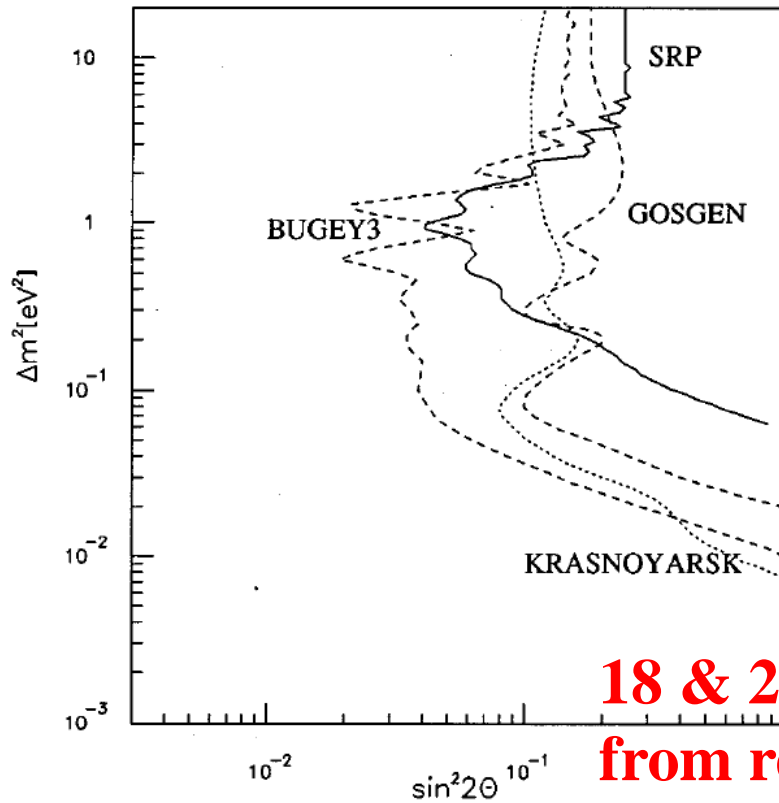
- For sound:  
 $f_{\text{beat}} \sim |f_1 - f_2| = \Delta f$
- For neutrinos:  
 $f_{\text{osc}} \sim m_1^2 - m_2^2 = \Delta m^2$

# Reactor Neutrino Oscillation Searches

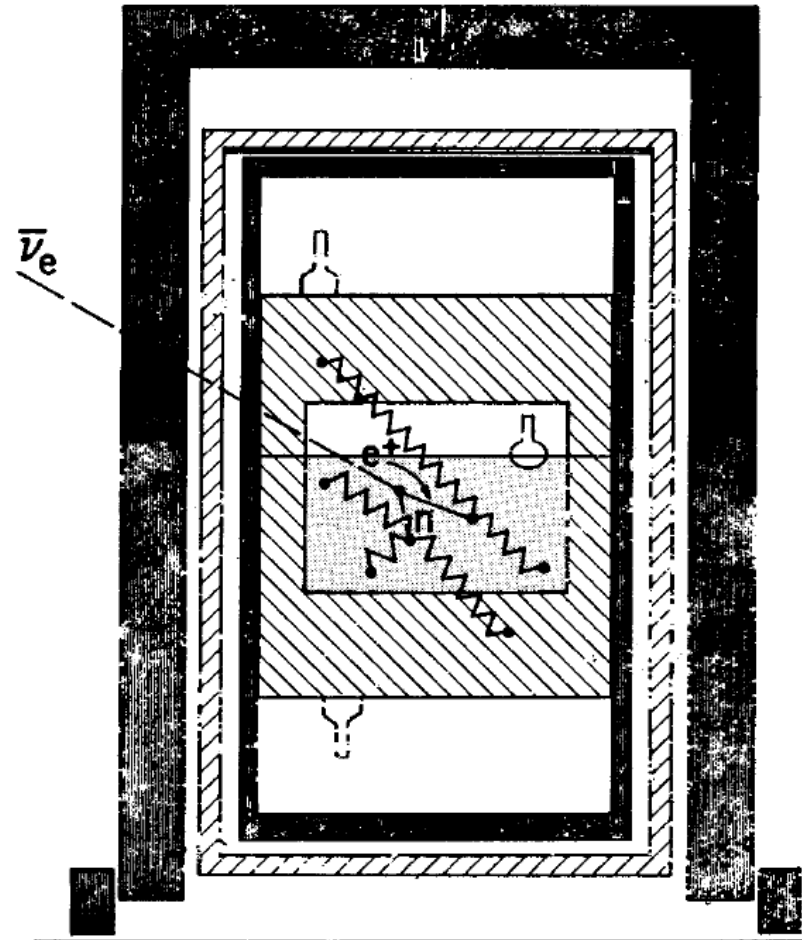
- 1979 “Detection of Weak Neutral Current Using Fission anti- $\bar{\nu}_e$  on Deuterons”.

$(\bar{\nu}_e d \rightarrow \bar{\nu}_e pn \text{ and } \bar{\nu}_e d \rightarrow e^+ nn)$  **Became basis for SNO experiment**

- 1996 “Results of a Two-Position Reactor-Neutrino Oscillation Experiment”.



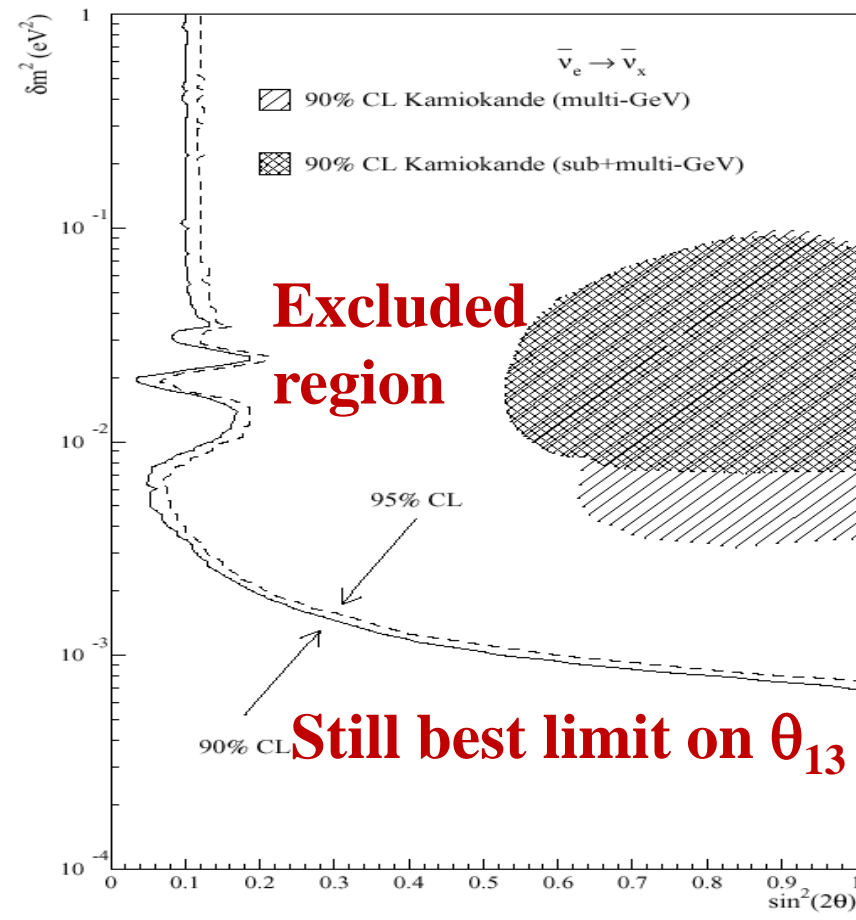
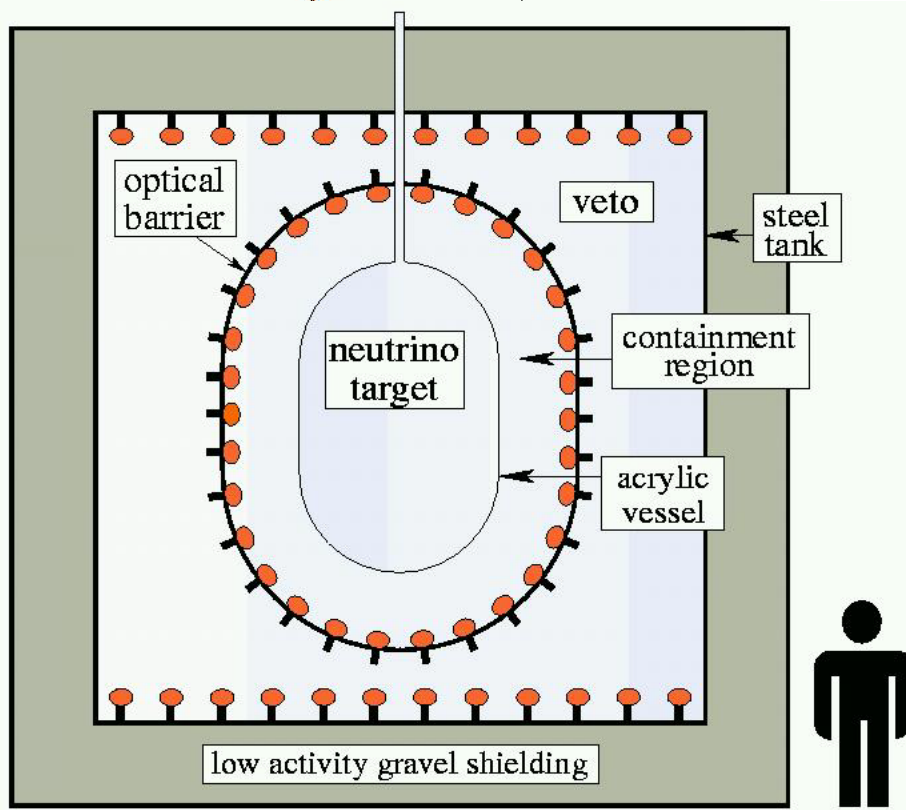
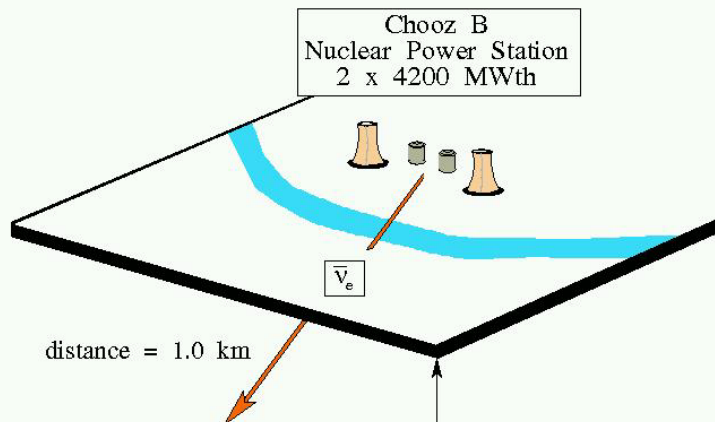
**18 & 24 meters  
from reactor**



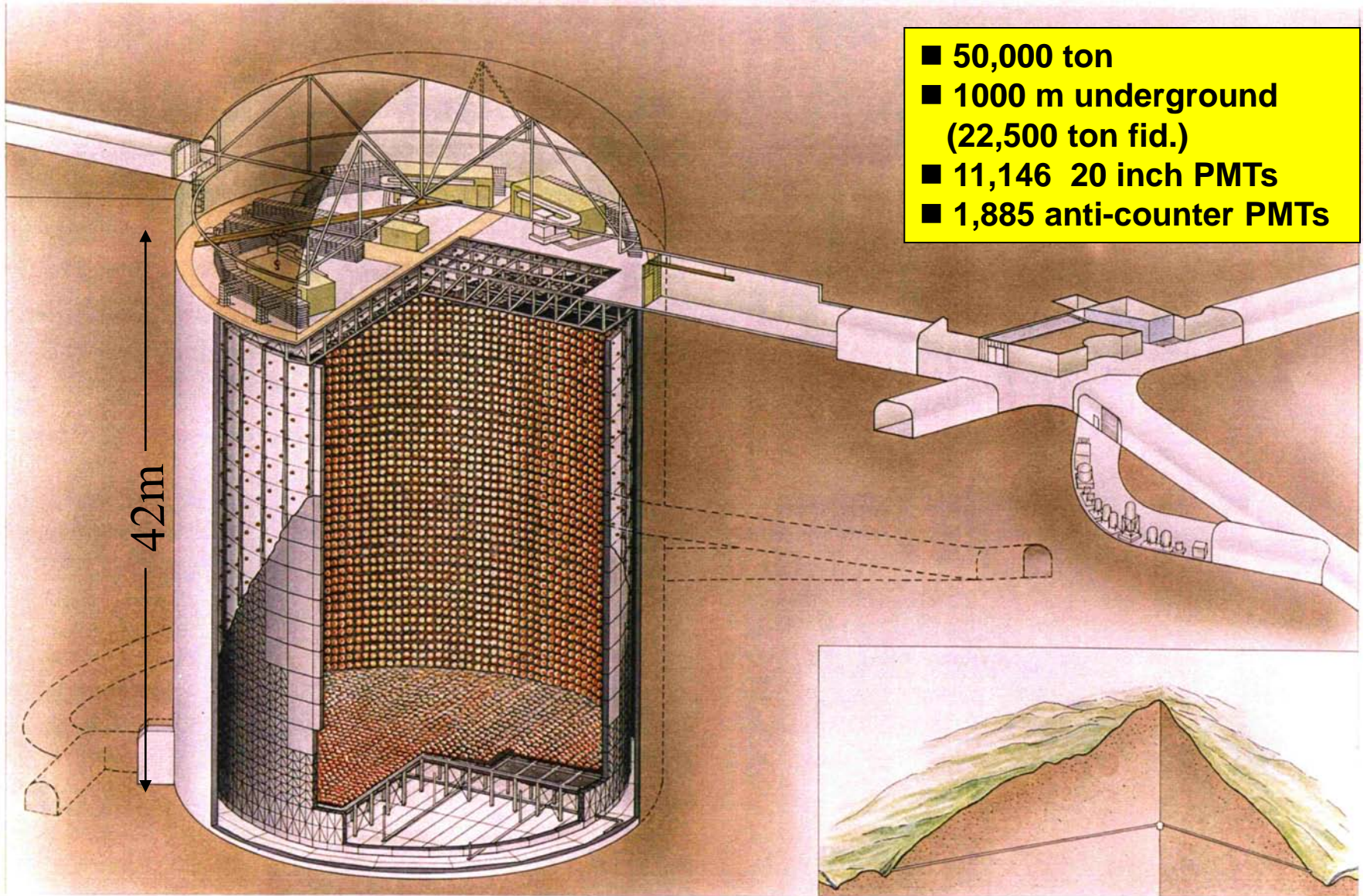
# 1999 - Chooz Reactor Search

Chooz Underground Laboratory,  
Ardennes, France

2 x 4200 MWth reactors – 1 km distant



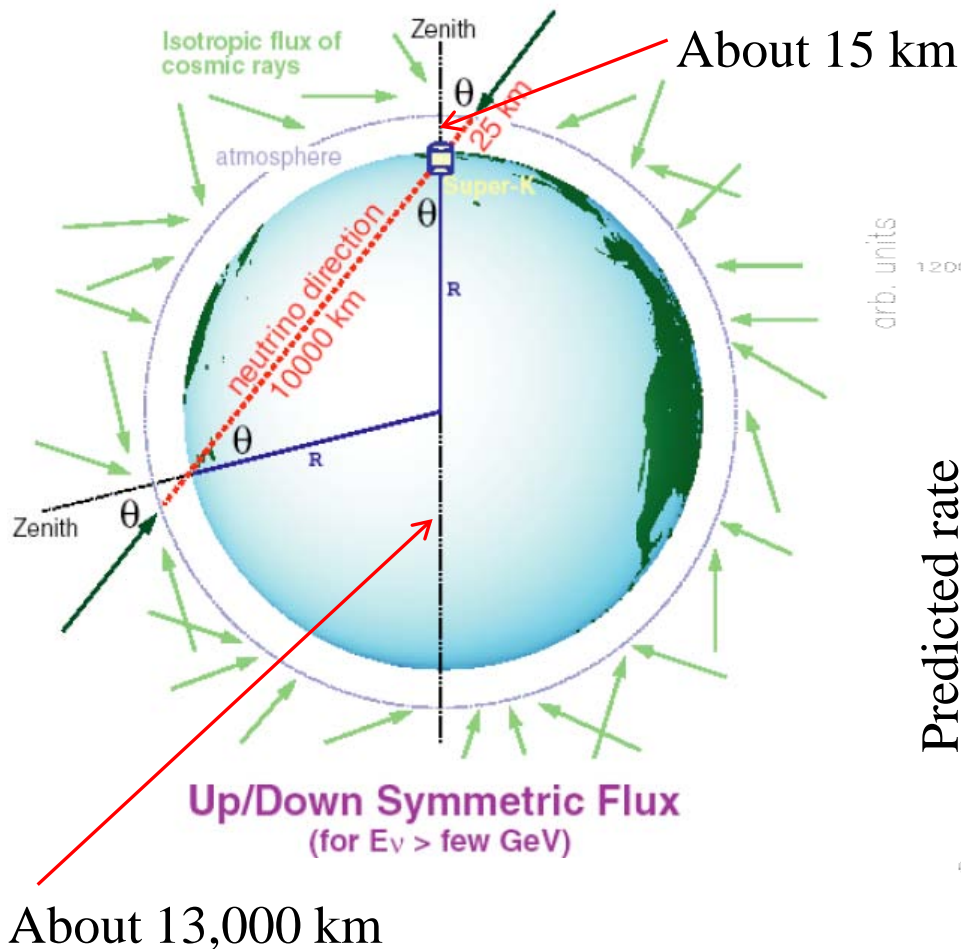
# 1996 - The Super-Kamiokande detector



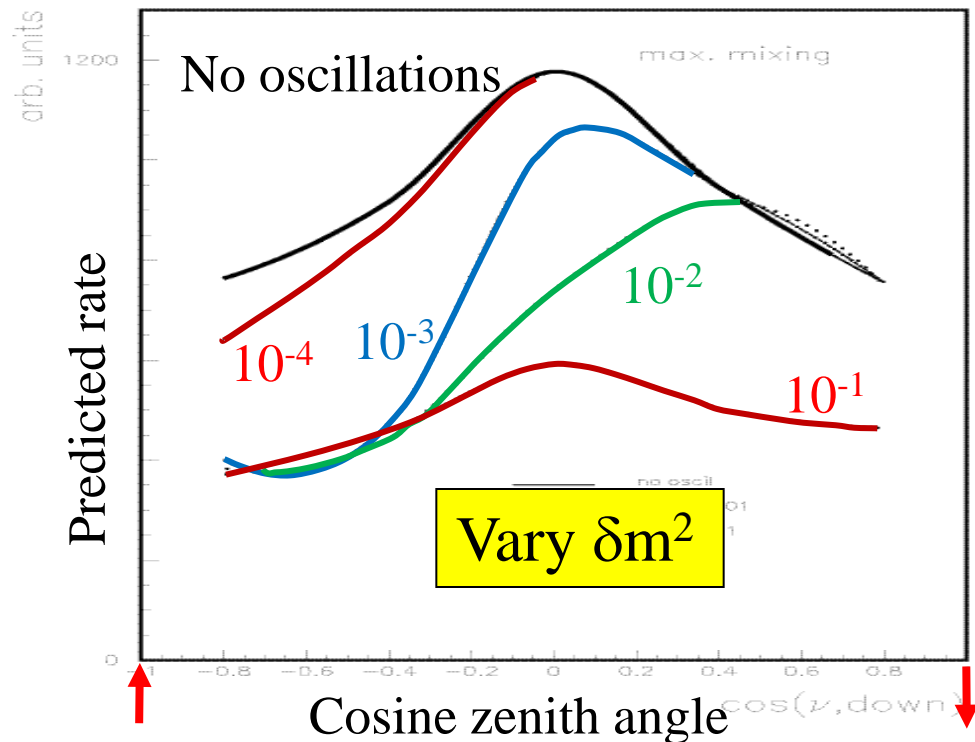
The image shows the interior of a large, spherical detector, likely the Super-Kamiokande. The walls and floor are covered in a dense, repeating pattern of small, circular photomultiplier tubes (PMTs) that create a shimmering, golden-brown surface. The perspective is from the center of the sphere, looking towards the top. Several bright lights are visible at the top, and a small green maintenance platform is suspended on the left side. The overall atmosphere is one of a vast, intricate, and futuristic scientific structure.

# A Cathedral for Neutrinos

# Atmospheric Neutrinos

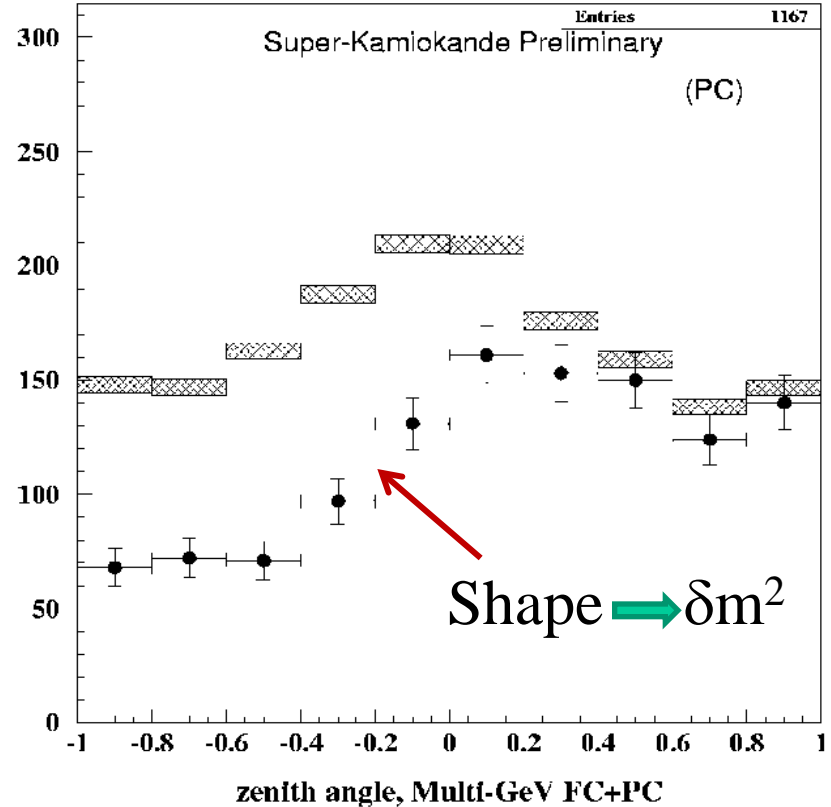
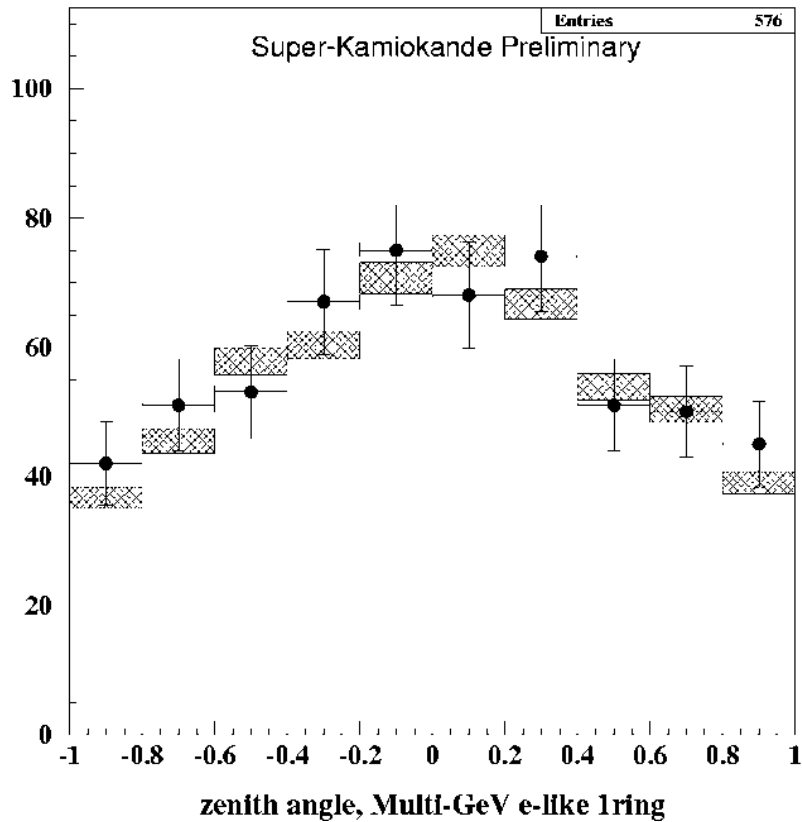


$$L \sim E_\nu / \delta m^2$$



Sensitivity from  
 $\sim 10^{-4}$  to  $10^{-1} \text{ eV}^2$

# The Earth is Just the Right Size...



**Electron  
Neutrinos as  
Expected**

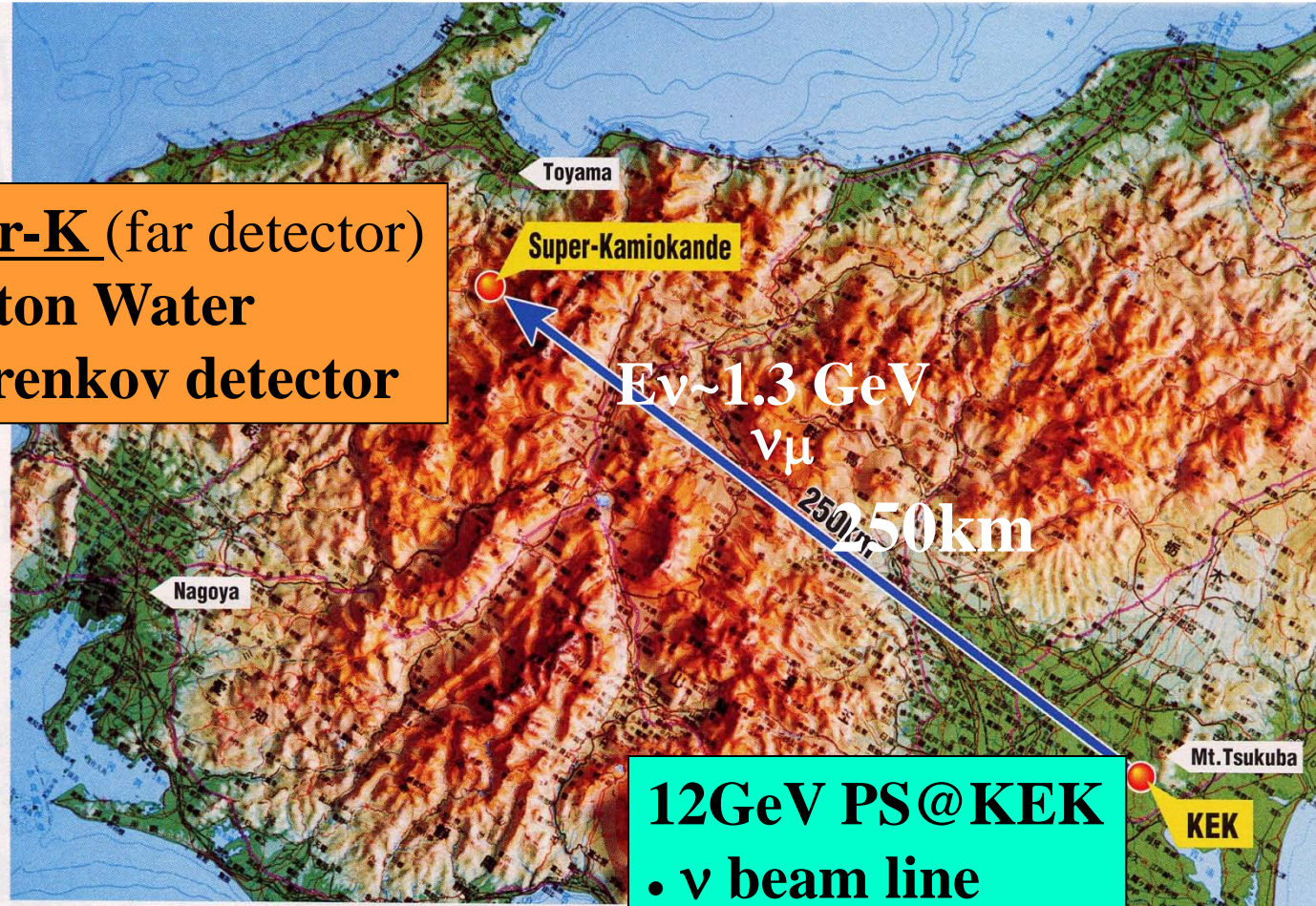
**1998  
announcement**

**Upward-going  
Muon  
Neutrinos  
Missing**

# KEK to Kamioka Neutrino Oscillation Experiment

Followed in 2000

Super-K (far detector)  
50 kton Water  
Cherenkov detector



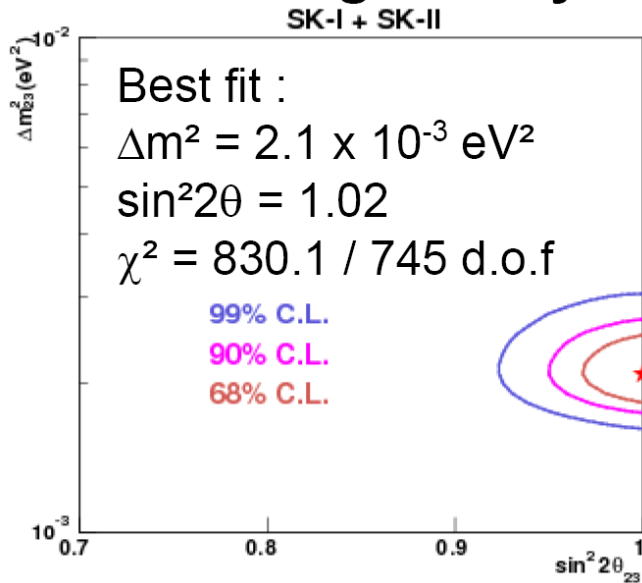
12 GeV PS @ KEK

- $\nu$  beam line
- Beam monitor
- Near detectors



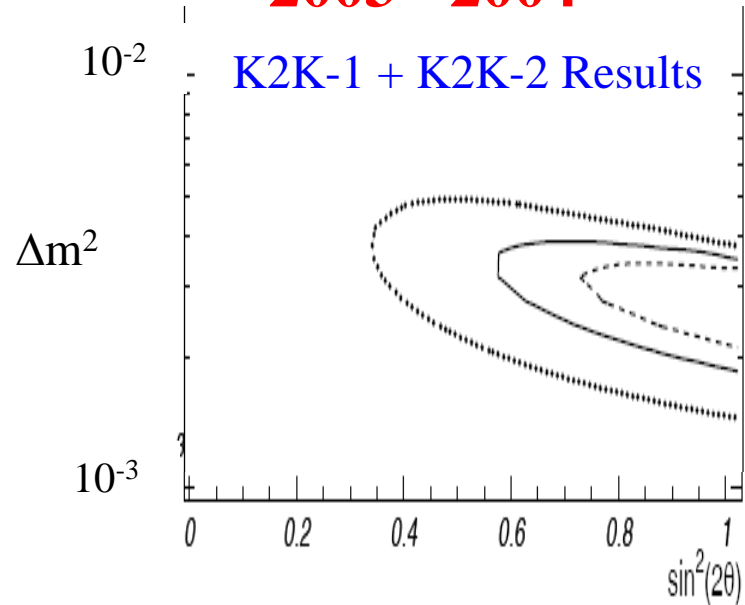
# K2K Confirms Super-K Results

## Zenith angle analysis

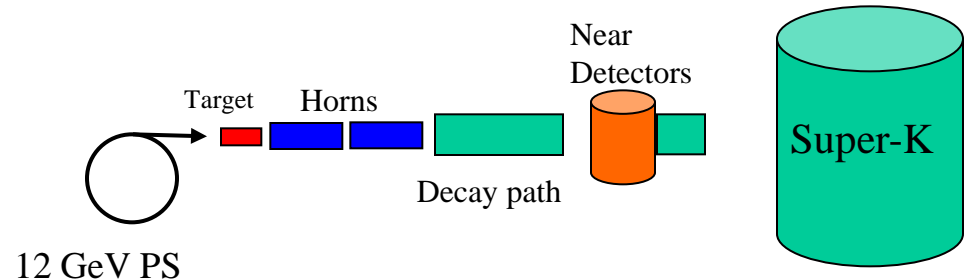


**Atmospheric Neutrinos**

**2003 - 2004**

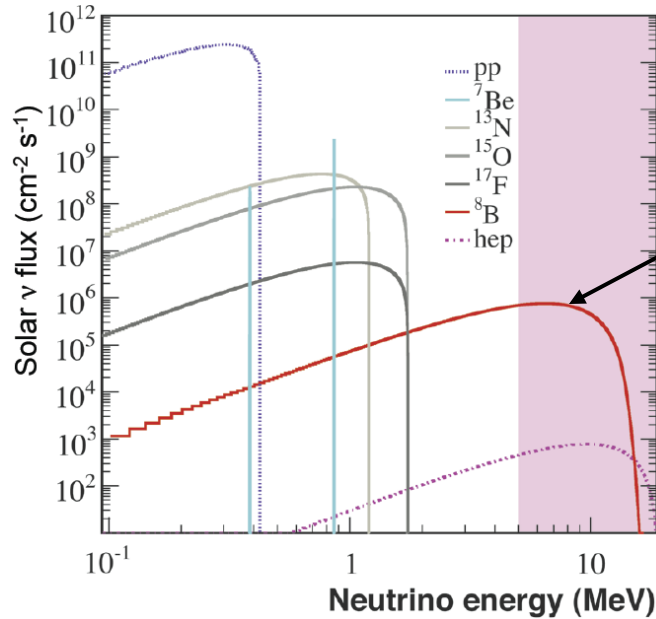


**Accelerator Neutrinos**

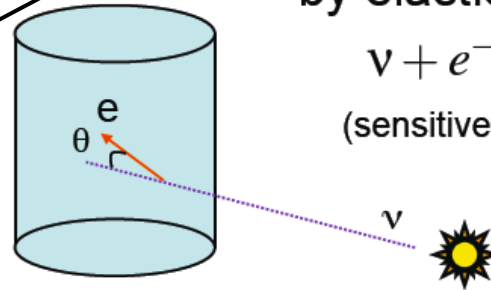


**Now also confirmed by MINOS**

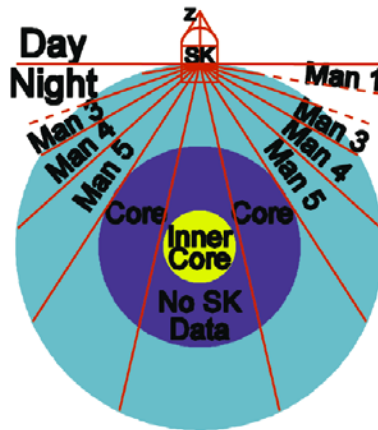
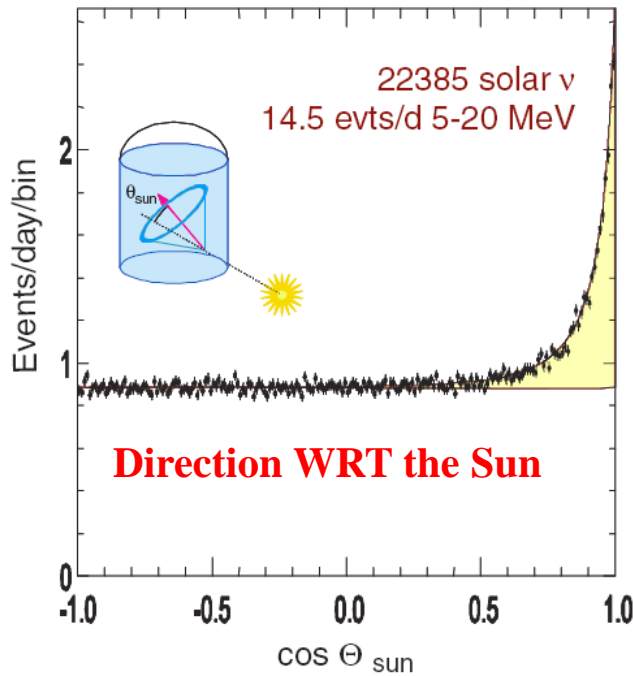
# SK Solar Neutrinos



${}^8\text{B}$  neutrino measurement by elastic scattering:



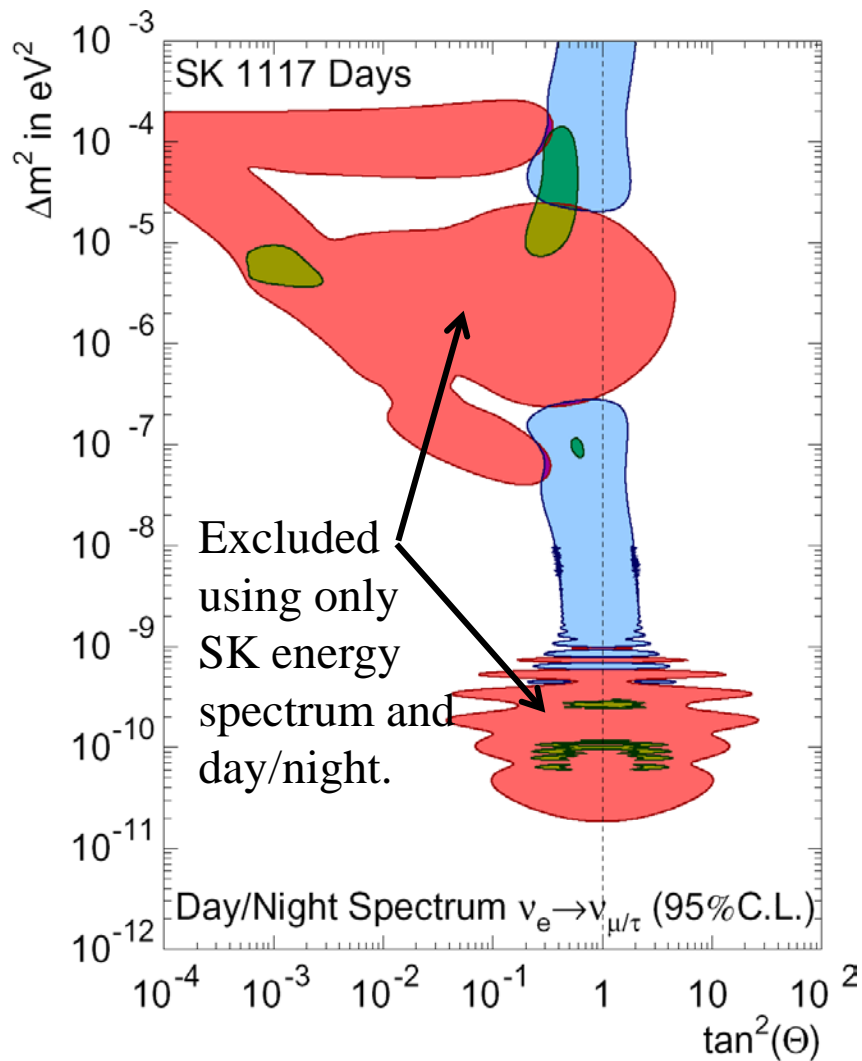
Collected  $\sim 33,000$  events  $\sim 5.0 < E < 20$  MeV



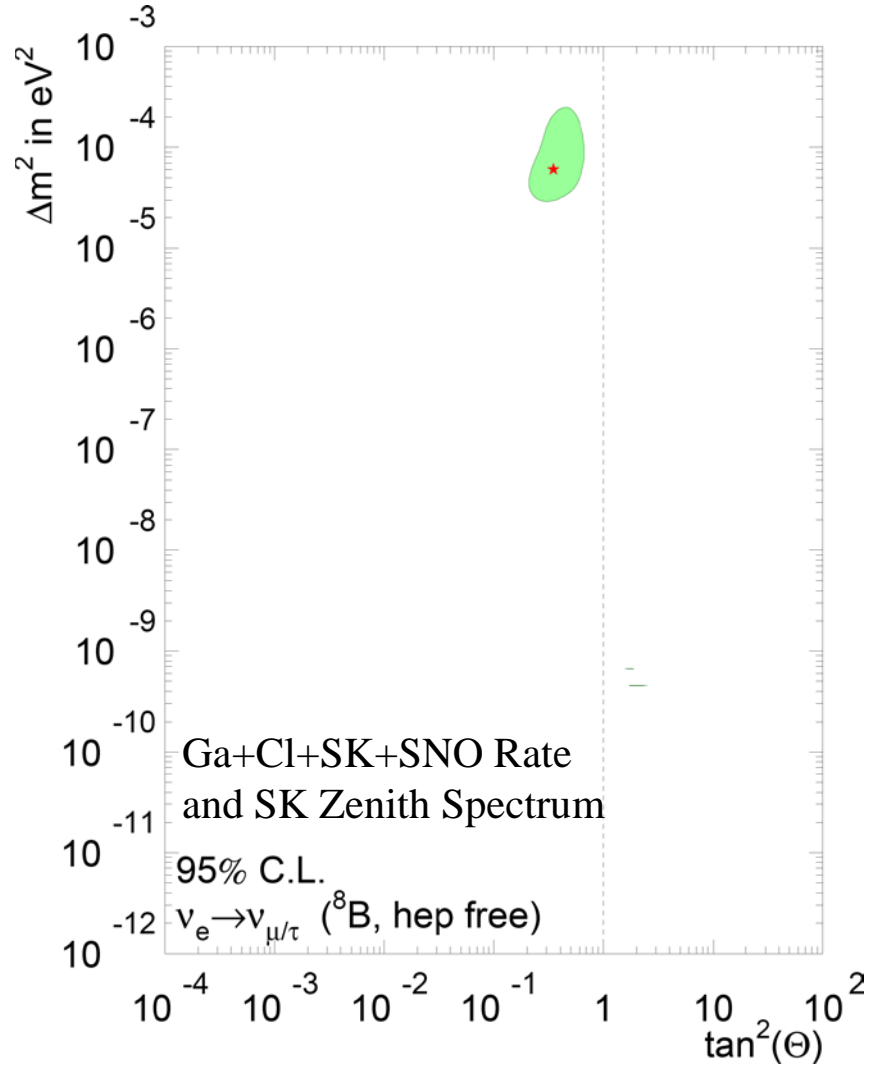
Day/Night asymmetry

- Determine:
- Direction WRT Sun
- Energy spectrum
- Time variation of flux
- Correlation with Solar Activity
- Day/Night asymmetry

# Solar Results

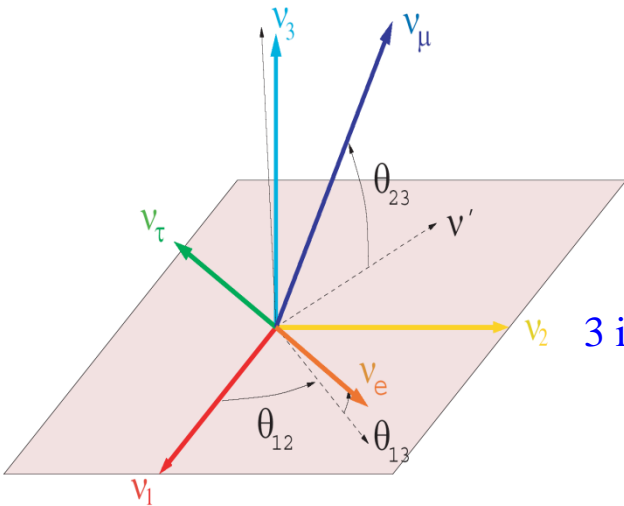


**June 2000, Sudbury**  
**Large angle**  
**Not VAV, SMA**



**December 2001, Kashiwa**  
**Not Low**  
**Really Osc – with SNO**

# Current Three Neutrino Picture



MNS

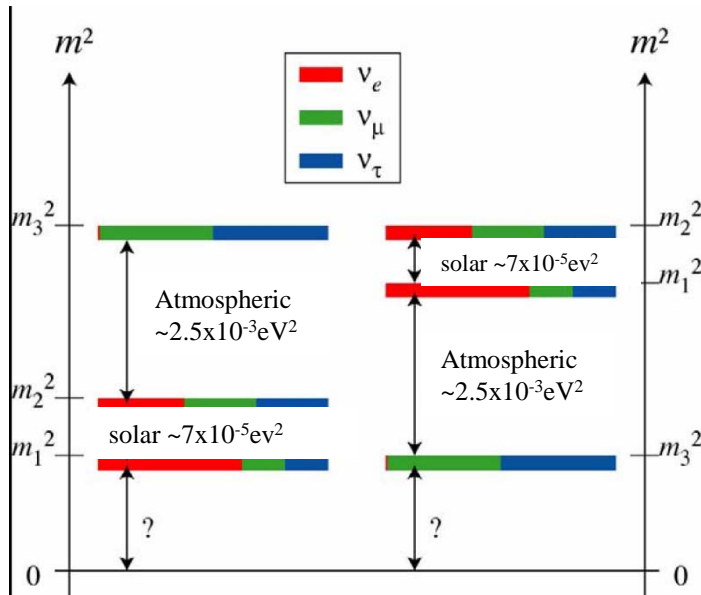
Flavor Eigenstates	Maki-Nakagawa-Sakata mixing matrix			Mass eigenstates
$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$	$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$	$\begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$		

3 independent parameters + 1 complex phase (+ Majorana phase)  
 $\theta_{12}, \theta_{23}, \theta_{13}, \delta$

$\theta_{12}, \delta m_{12}^2$  Solar + KamLAND

$\theta_{23}, \delta m_{23}^2$  Atmospheric + accelerator

$\theta_{13}$  Limit from Chooz reactor



## Remaining Questions:

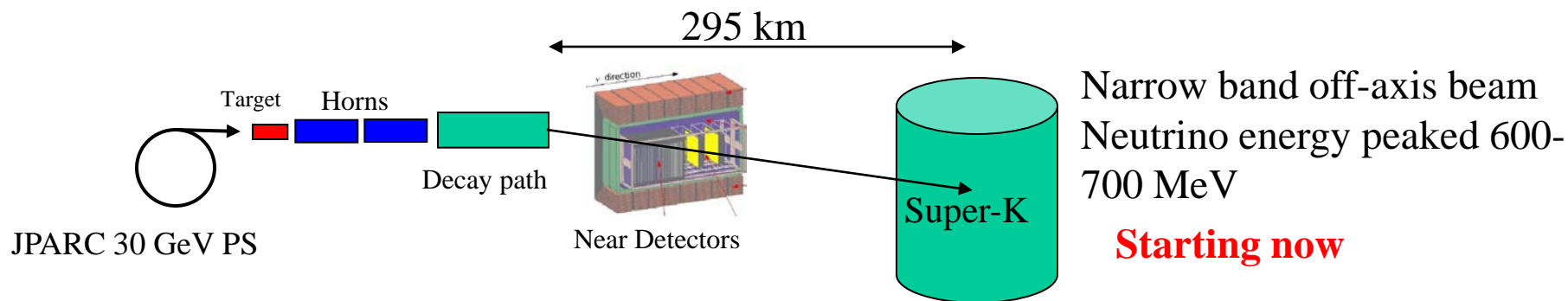
- Measurement of  $\theta_{13}$ 
  - Precision measurements of other quantities
- What is the value of Dirac CP phase  $\delta$ ?
- What is the mass hierarchy?
- What is the mass of the lightest neutrino?
- Are neutrinos Majorana particles?
  - If so what are Majorana phases?

# CP Violation

$$P(\nu_e \rightarrow \nu_\mu) - P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu) = 16s_{12}c_{12}s_{13}c_{13}^2s_{23}c_{23} \sin \delta \sin\left(\frac{\Delta m_{12}^2 L}{4E}\right) \sin\left(\frac{\Delta m_{13}^2 L}{4E}\right) \sin\left(\frac{\Delta m_{23}^2 L}{4E}\right)$$

- Possible only if:
  - $\Delta m_{12}^2, s_{12}$  large enough (LMA) - **Ok**
  - $\theta_{13}$  large enough - **???**
- Can we see CP violation?

# T2K Experiment



## Phase I - Initial Goals

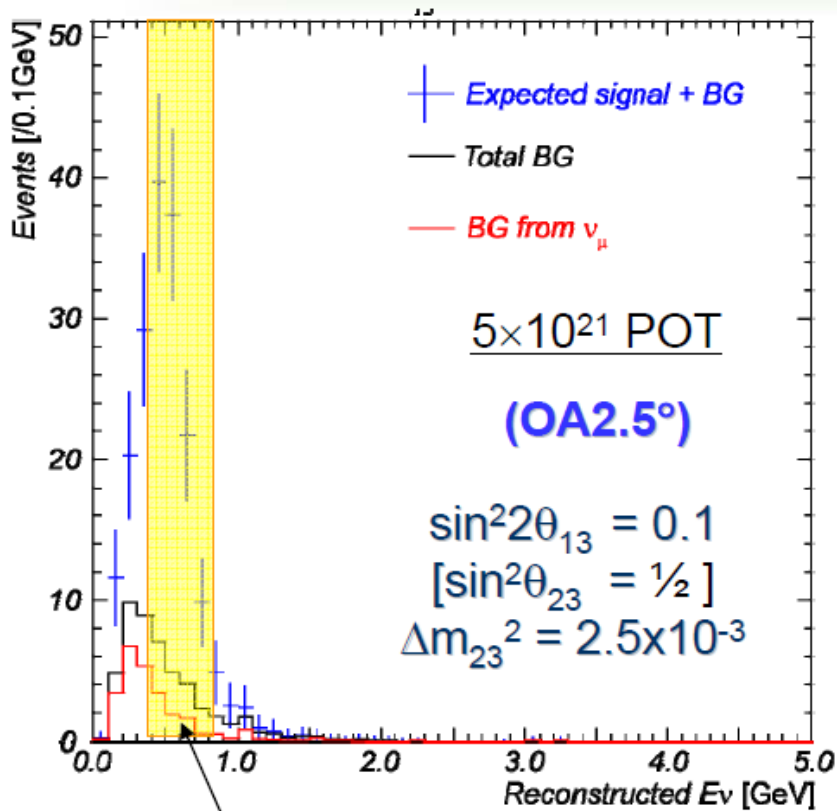
- Discover if  $\theta_{13}$  is non-zero by observing  $\nu_e$  appearance
- Attempt to resolve if  $\theta_{23}$  is non-maximal.
- Precisely determine  $\delta m_{23}^2$  ( $\sim 10^{-4} \text{ eV}^2$ )

## Phase II

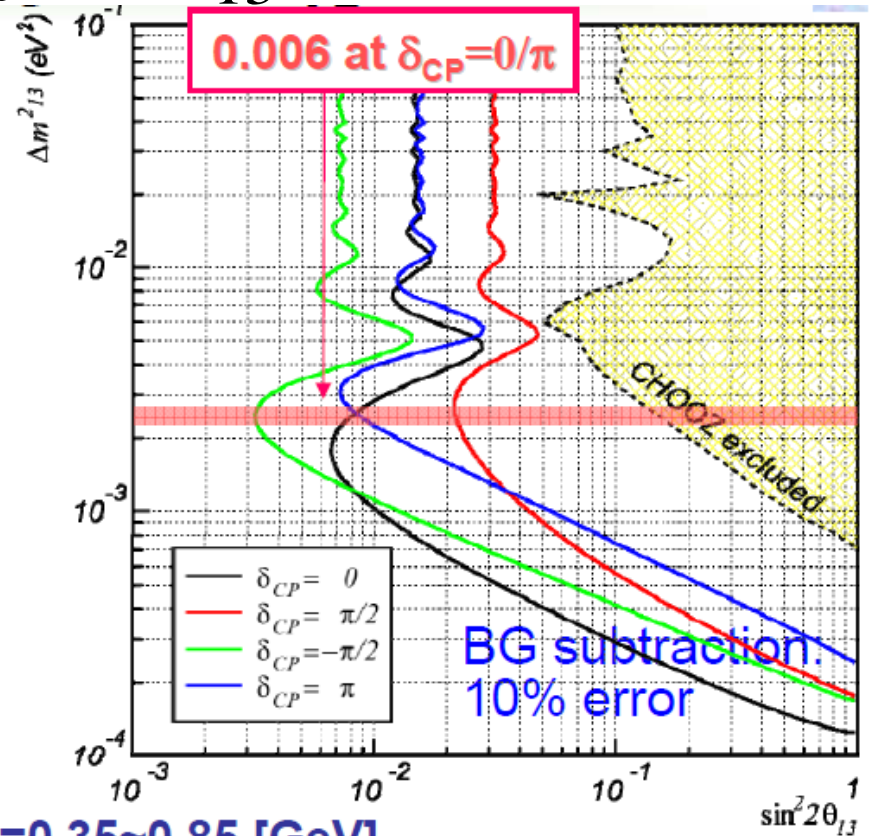
- Discovery of non-zero CP phase
- Determination of mass hierarchy

**New, far detector required**

# Sensitivity to $\theta_{13}$



# of events in  $E_{\text{rec}}=0.35 \sim 0.85$  [GeV]



$\sin^2 2\theta_{13}$	Background in Super-K			Signal [~40% eff]	Signal + BG
	$\nu_{\mu}$	$\nu_e$	total		
0.1	10	13	23	103	126
0.01				10	33

# Detector at DUSEL – New Beam from Fermilab

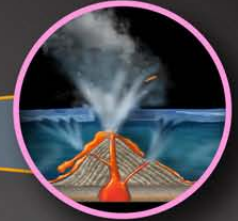
**DUSEL** Deep Underground Science and Engineering Laboratory **at Homestake, SD**



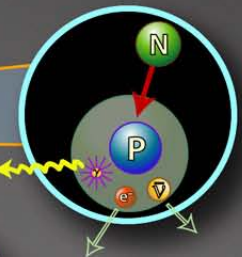
Engineering



Geoscience



Physics



6 ½ Empire State Buildings for scale

Shallow Lab

Mid-level

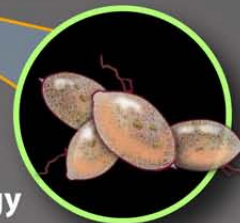
Deep Campus

Open cut

Astrophysics

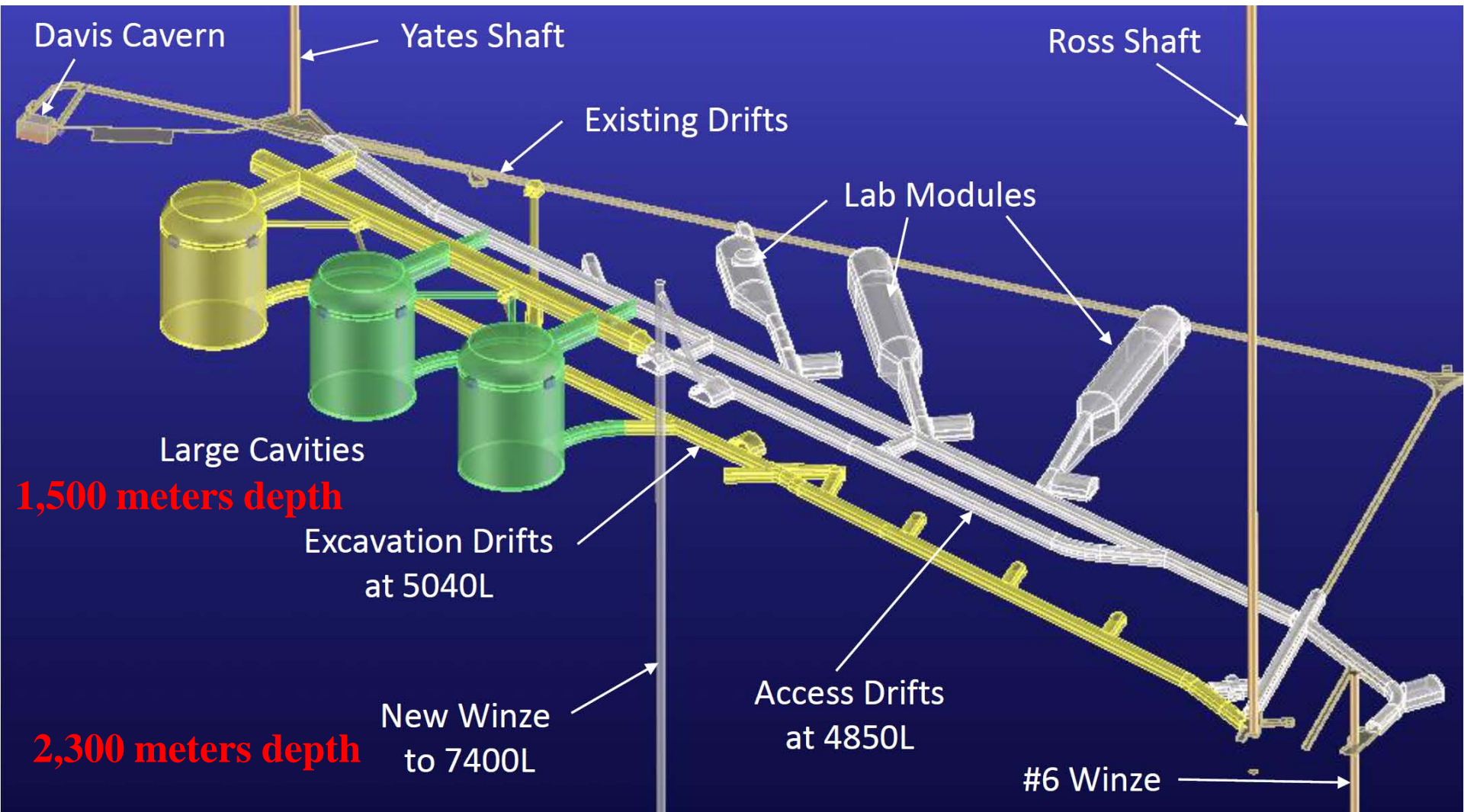


Biology

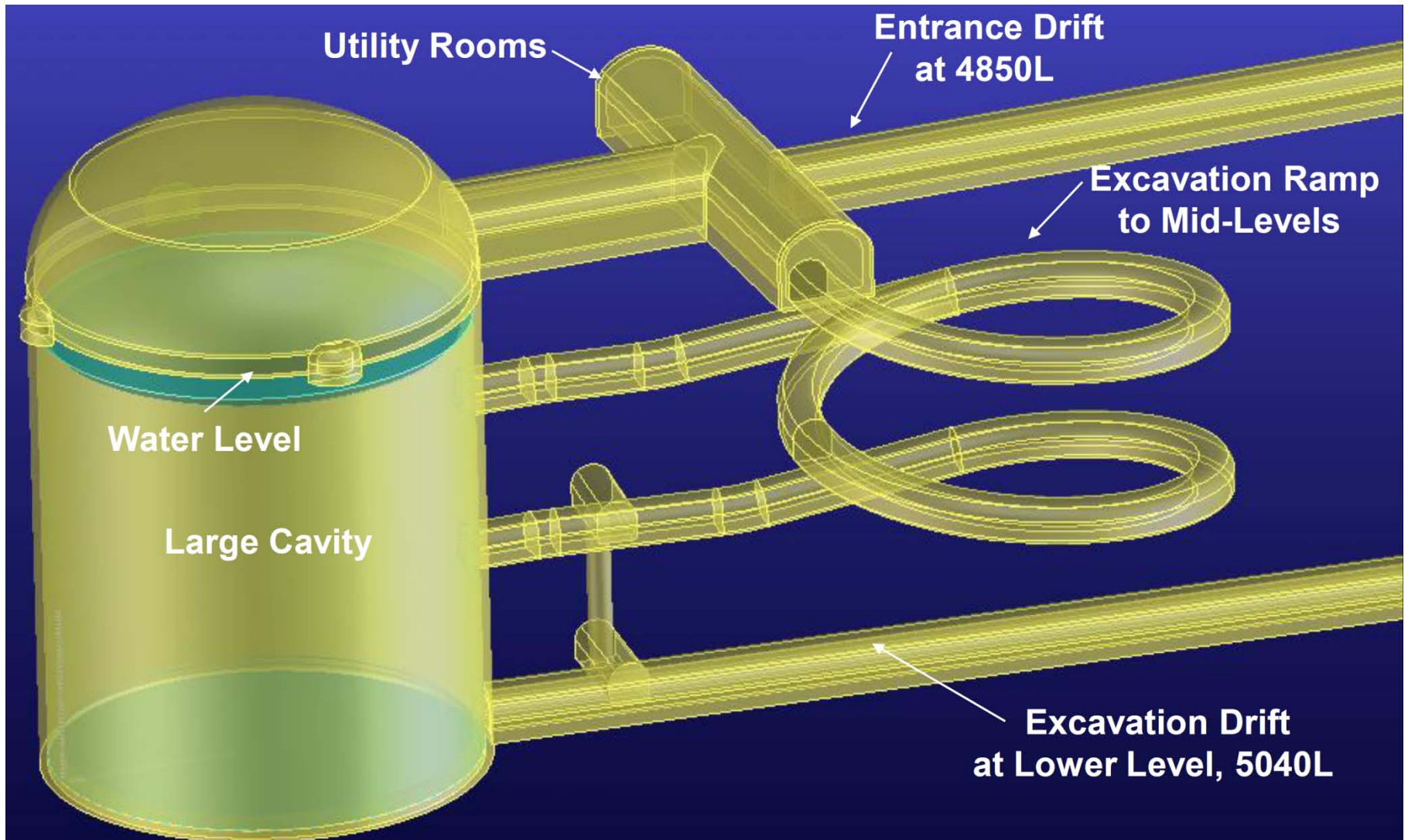




# Plans anticipate DUSEL Construction Start FY2013



# Each Module 100 to 150kt Fiducial Volume



# Summary, Outlook

- The tiny neutrino mass, as demonstrated by observing neutrino oscillations, is the first evidence for *incompleteness of Minimal Standard Model*.
- The future is bright for neutrino physics with many new experiments building and starting shortly.